

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Cayton et al.)	SUBSTANTIALLY
)	TRANSPARENT,
Serial No.: 09/726,686)	ABRASION-RESISTANT
)	FILMS CONTAINING
Filed: November 29, 2000)	SURFACE-TREATED
)	NANOCRYSTALLINE
Group Art: 1762)	PARTICLES

#8 / Small
Entity
Statement
M. Watts
7/9/01

ASSERTION OF SMALL ENTITY STATUSUNDER 37 C.F.R. 1.27(c)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR § 1.27(c), the Applicant hereby asserts that it qualifies as a small business under 37 CFR 1.27(a)(2) and is therefore entitled to small entity status for the application.

The application was filed on November 29, 2000 and erroneously did not include an assertion of small entity status.

Please contact the undersigned, or Annette McGarry, Registration No. 34,671 (ext. 2400) with any questions.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on JUNE 20, 2001.

Leanora Petrowski

6/20/01
Date

Respectfully submitted,

Judie D. Dziezak

Judie D. Dziezak
Registration No. 40,599

Wildman, Harrold, Allen & Dixon
225 West Wacker Drive
Chicago, Illinois 60606
Phone: 312/201.2000
Facsimile: 312/201.2555

OFFICE OF PATENT
RECORDS SECTION
2001 JUN 26 PM 12:13
US PATENT & TRADEMARK
OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Cayton et al.)	SUBSTANTIALLY
)	TRANSPARENT,
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Group Art: 1762)	PARTICLES

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed are the following documents pertaining to the referenced application:

1. Assertion of Small Entity Status
2. Request for Refund of Overpayment
3. Return Receipt Postcard

Please contact the undersigned or Annette McGarry, Registration No. 34,671 (ext. 2400) with any questions.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on JUNE 20, 2001.

Leanora Petrowski 6/20/01
Date

Respectfully submitted,

Judie D. Dziezak
Judie D. Dziezak
Registration No. 40,599

Wildman, Harrold, Allen & Dixon
225 West Wacker Drive
Chicago, Illinois 60606
Phone: 312/201.2000
Facsimile: 312/201.2555

OFFICE OF FINANCE
REFUND BRANCH
2001 JUN 26 PM 12:15
US PATENT & TRADEMARK
OFFICE

IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

In re Application of: Cayton et al.)	SUBSTANTIALLY
)	TRANSPARENT,
Serial No.: 09/726,686)	ABRASION-RESISTANT
)	FILMS CONTAINING
Filed: November 29, 2000)	SURFACE-TREATED
)	NANOCRYSTALLINE
Group Art: 1762)	PARTICLES

7/Ref for
Refund
M. W. R. T. S.
7/9/01

REQUEST FOR REFUND
OF OVERPAYMENT

Office of Refunds
Refund Section
Assistant Commissioner for Patents
Washington, D.C. 20231
Box: 16

OFFICE OF REFUND
REFUND DIVISION
2001 JUN 26 PM 12:15
US PATENT & TRADEMARK
OFFICE

Sir:

Enclosed is an Assertion of Small Entity Status for the referenced application.

As your records reflect, our firm assumed responsibility for this application in April 2001. The file was marked "large entity." It has come to our attention that the referenced application, along with the Missing Parts and Assignment filed on February 5, 2001, erroneously identified the Applicant as a large entity, where it is actually a small entity and was such prior to, on, and since the application filing date of November 29, 2000.

Please change your records to reflect the correct entity status.

Please also refund the overpayment of \$681.00, as calculated below for the filing.

Item	37 CFR section	Fee paid (\$)	Refund due (\$)
Application	1.16(a)	\$ 710	\$ 355
Claims in excess of twenty	1.16(c)	\$ 522	\$ 261
Surcharge on missing parts	1.16(e)	\$ 130	\$ 65
Total refund due			\$ 681

Please refund the amount due -- \$681.00-- by a check made payable to Wildman, Harrold, Allen & Dixon, referencing this application. In the alternative, please credit the Wildman, Harrold, Allen & Dixon Deposit Account No. 23-2126.

A duplicate of this request is enclosed for the Office of Records.

Respectfully submitted,

Date: 6/20/2001

By: Judie D. Dziezak
 Judie D. Dziezak
 Attorney for Applicants
 Reg. No. 40,599

Wildman, Harrold, Allen & Dixon
 225 West Wacker Drive
 Chicago, IL 60606
 Ph. (312) 201-2000
 Fax (312) 201-2555

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal service as First Class mail in an envelope addressed to the Assistant Commissioner For Patents, Washington, D.C. on

JUNE 20, 2001.

Leanora Petrowski 6/20/01
 Leanora Petrowski